

Answer to Question 1

Given $K = (k_1, k_2, k_3, k_4, k_5)$ 5 distinct keys in sorted order $k_1 < k_2 < k_3 < k_4 < k_5$ and we will build a binary search tree from these keys. For each key k_i , we have a probability p_i that a search will be for k_i . We need 6 $(n+1)$ “dummy keys” for the leaf nodes of the tree. For dummy key d_i for $i = 1, 2, 3, 4$ represents all values between k_i and $k_{(i + 1)}$. For each dummy key d_i , we have a probability q_i that a search will correspond to d_i that represents values not in K . Every search is either successful or unsuccessful, so we get:

$$\sum_{i=1}^n p_i + \sum_{i=0}^n q_i = 1.$$

Assume the actual cost of a search = the number of nodes examined.

Then, $E[\text{search cost in } T] = 1 + \sum_{i=1}^n \text{depth}_T(k_i) \cdot p_i + \sum_{i=0}^n \text{depth}_T(k_i) \cdot q_i$

For the optimal binary search tree, we want the overall height to be the smallest of all the possible optimal binary trees that can be generated from the given keys and possibilities. We can use matrix-chain multiplication for exhaustive checking for lowest cost, but it must be an efficient algorithm as such:

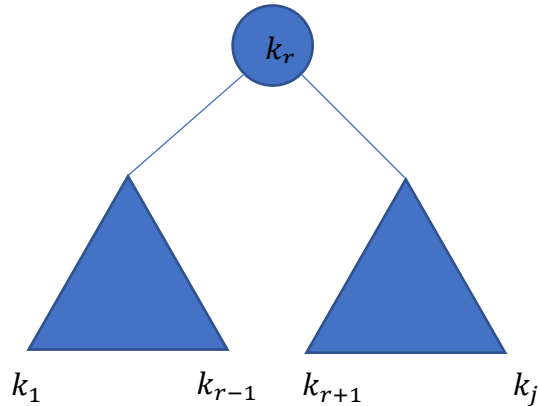
Step 1: Generate Structure of an optimal binary search tree

Optimal search trees will consist of an optimal substructure: if an optimal binary search tree T has a subtree T' then this subtree T' must be optimal. We can prove this as such

Lets say one of the keys in k_1, \dots, k_5 is k_r , where $1 \leq r \leq 5$ is the root of an optimal subtree for the 5 keys.

Left subtree of k_r contains $k_1, \dots, k_{(r - 1)}$.

Right subtree of k_r contains $k_{(r + 1)}, \dots, k_5$.



So, using the optimal substructure we can generate an optimal search tree with the given keys by selecting any of the 5 keys as the root. We examine all possible binary trees by choosing all other candidate roots k_r where $1 \leq r \leq 5$. In the situation of an empty left/right subtree, we consider the dummy key $d(i - 1)$ or d_j and can interpret the sequence.

Step 2: Recursive solution

From the previous step for each optimal BST we define $e[i, j] =$ *expected search cost for k_i, \dots, k_j* , where $i \geq 1, j \leq 5, j \geq i - 1$ and when $j = i - 1$ the tree is empty. For a selected root $k_r, i \leq r \leq j$ we recursively make an optimal BST. We also need to define the depth of every node as $w(i, j) = w(i, r - 1) + p_r + w(r + 1, j)$.

As we do not know k_r , we can calculate the expected search cost of at the root as:

$$e[i, j] = \begin{cases} q_i - 1 & \text{if } j = i - 1 \\ \min\{e[i, r - 1] + e[r + 1, j] + w(i, j)\} & \text{if } i \leq j \\ i \leq r \leq j \end{cases}$$

Step 3: Computing the expected search cost of the optimal binary search tree

As a recursive matrix chain multiplication algorithm will be inefficient, we can store the $e[i, j]$ values in a table $e[1 \dots n + 1, 0 \dots n]$. We also use a table $root[i, j]$ for recording the root of the subtree and another table $w[1, \dots n + 1, 0 \dots n]$ for storing the values of $e[i, j]$.

For the given keys using the algorithm for optimal binary search tree we get,

	$j = 0$	1	2	3	4	5
$i = 1$	$e = 0$ $w = 0$	$e = 0.23$ $w = 0.23$ $r = 1$	$e = 0.73$ $w = 0.50$ $r = 2$	$e = 1.05$ $w = 0.66$ $r = 2$	$e = 1.47$ $w = 0.80$ $r = 2$	$e = 2.09$ $w = 1.00$ $r = 2$
2		$e = 0$ $w = 0$	$e = 0.27$ $w = 0.27$ $r = 2$	$e = 0.59$ $w = 0.43$ $r = 2$	$e = 0.98$ $w = 0.57$ $r = 3$	$e = 1.52$ $w = 0.77$ $r = 3$
3			$e = 0$ $w = 0$	$e = 0.16$ $w = 0.16$ $r = 3$	$e = 0.44$ $w = 0.30$ $r = 3$	$e = 0.86$ $w = 0.50$ $r = 4$
4				$e = 0$ $w = 0$	$e = 0.14$ $w = 0.14$ $r = 4$	$e = 0.48$ $w = 0.34$ $r = 5$
5					$e = 0$ $w = 0$	$e = 0.20$ $w = 0.20$ $r = 5$
6						$e = 0$ $w = 0$

Some node calculations are shown here:

For node [3,4]

$$i = 3, j = 4$$

$$\text{When } r = 3, e = 0 + 0.14 + 0.30 = 0.44$$

$$\text{When } r = 4, e = 0.16 + 0 + 0.30 = 0.46$$

r = 3 is chosen.

For node [4,5]

$$i = 4, j = 5$$

$$\text{When } r = 4, e = 0 + 0.20 + 0.34 = 0.54$$

$$\text{When } r = 5, e = 0.14 + 0 + 0.34 = 0.48$$

r = 5 is chosen.

For node [3,5]

$$i = 3, j = 5$$

$$\text{When } r = 3, e = 0 + 0.48 + 0.50 = 0.98$$

$$\text{When } r = 4, e = 0.16 + 0.20 + 0.50 = 0.86$$

$$\text{When } r = 5, e = 0.44 + 0 + 0.50 = 0.94$$

r = 4 is chosen

For node [2,4]

$$i = 2, j = 4$$

$$\text{When } r = 2, e = 0 + 0.44 + 0.57 = 1.01$$

$$\text{When } r = 3, e = 0.27 + 0.14 + 0.57 = 0.98$$

$$\text{When } r = 4, e = 0.59 + 0.20 + 0.57 = 1.36$$

r = 3 is chosen

For node [2,5]

$$i = 2, j = 5$$

$$\text{When } r = 2, e = 0 + 0.86 + 0.77 = 1.63$$

$$\text{When } r = 3, e = 0.27 + 0.48 + 0.77 = 1.52$$

$$\text{When } r = 4, e = 0.59 + 0.20 + 0.77 = 1.56$$

$$\text{When } r = 5, e = 0.98 + 0 + 0.77 = 1.75$$

r = 3 is chosen

For node [1,4]

$$\text{When } r = 1, e = 0 + 0.98 + 0.80 = 1.78$$

$$\text{When } r = 2, e = 0.23 + 0.44 + 0.80 = 1.47$$

$$\text{When } r = 3, e = 0.73 + 0.14 + 0.80 = 1.67$$

$$\text{When } r = 4, e = 0.89 + 0 + 0.80 = 1.69$$

r = 2 is chosen

For node [1,5]

$$\text{When } r = 1, e = 0 + 1.52 + 1 = 2.52$$

$$\text{When } r = 2, e = 0.23 + 0.86 + 1 = 2.09$$

$$\text{When } r = 3, e = 0.73 + 0.48 + 1 = 2.21$$

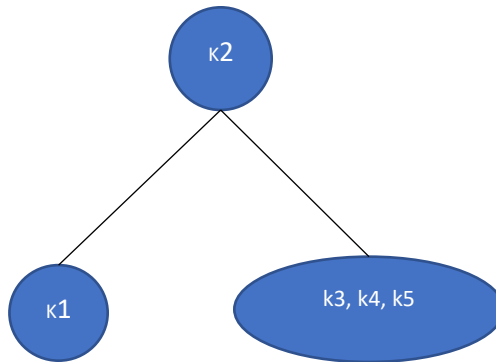
$$\text{When } r = 4, e = 1.05 + 0.20 + 1 = 2.25$$

$$\text{When } r = 5, e = 1.47 + 0 + 1 = 2.47$$

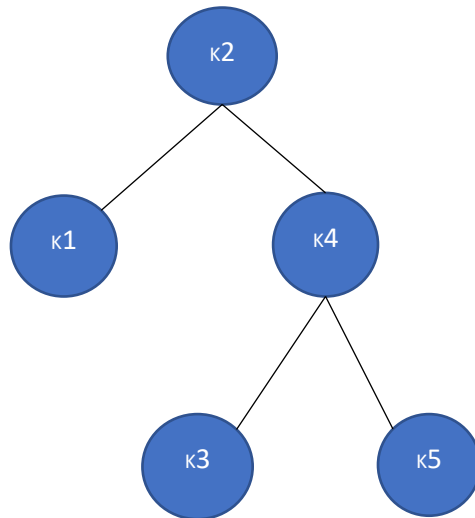
r = 2 is chosen

Now, we can select the root by checking node [1,5] and get $r[1,5] = 2$

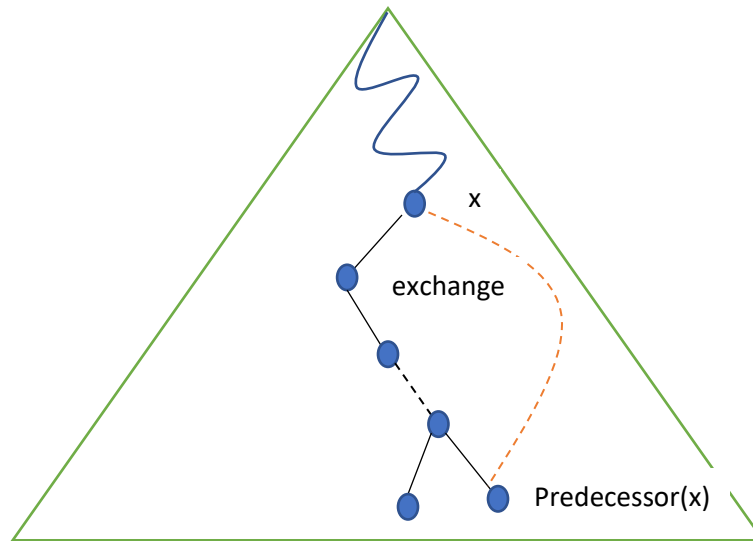
We get the tree:



We check node [3,5] for root value of the subtree and get $r[3,5] = 4$.



Answer to Question 2



Deletion – Pseudocode

Tree-Delete(T, z)

1. if $left[x] = NIL$ or $right[x] = NIL$
2. then $y \leftarrow x$
3. else $y \leftarrow Tree - predecessor[x]$
4. if $right[y] \neq NIL$
5. then $a \leftarrow right[y]$
6. else $a \leftarrow left[y]$
7. if $a \neq NIL$
8. then $p[a] \leftarrow p[y]$
9. if $p[y] = NIL$
10. then $root[T] \leftarrow a$
11. else if $y = right[p[y]]$
12. then $right[p[y]] \leftarrow a$
13. else $left[p[y]] \leftarrow a$

14. if $y \neq x$
15. then $key[x] \leftarrow key[y]$
16. Copy y 's satellite data into x .
17. return y

Answer to Question 3

Let the height of a node $h(x) = \text{number of edges in a longest path of a leaf}$.

$bh(x)$

= number of black nodes (including $nil[T]$) on the path from x to leaf, not counting x .

Since there are no consecutive red nodes and we end with black (Prop 3), $h(x) \leq 2bh(x)$.

Lemma: The subtree rooted at any node x has $x \geq 2^{bh(x)} - 1$ internal nodes.

Proof by Induction:

Base Case: when $h(x) = 0$, x is a leaf

$$bh(x) = 0$$

Subtree has $2^0 - 1 = 0$ nodes.

Hypothesis: Assume that for any node k with $height < h$ the lemma holds. Each child has $\geq 2^{bh(x)-1} - 1$ internal nodes.

Induction step: Consider node $x \neq k$ with $h(x) = h > 0$ and $bh(x) = b$.

Each child of x has height at most $h - 1$ and black-height either b (when child is red) or $b-1$ (child is black).

Subtree rooted at x had $\geq 2(2^{bh(x)-1} - 1) + 1$ internal nodes

$$= 2^{bh(x)} - 1 \text{ internal nodes.}$$

Now, using this Lemma we can prove the Lemma: A red-black tree with n internal nodes has height of at most $2 \lg(n + 1)$.

Proof: From the above lemma, $n \geq 2^{bh(x)} - 1$

We know, $h(x) \leq 2bh(x)$ (derived from Prop 3).

$$\Rightarrow bh \geq \frac{h}{2}$$

$$\Rightarrow n \geq 2^{\frac{h}{2}} - 1$$

$$\Rightarrow h \leq 2 \lg(n + 1) \quad \text{[Proved]}$$

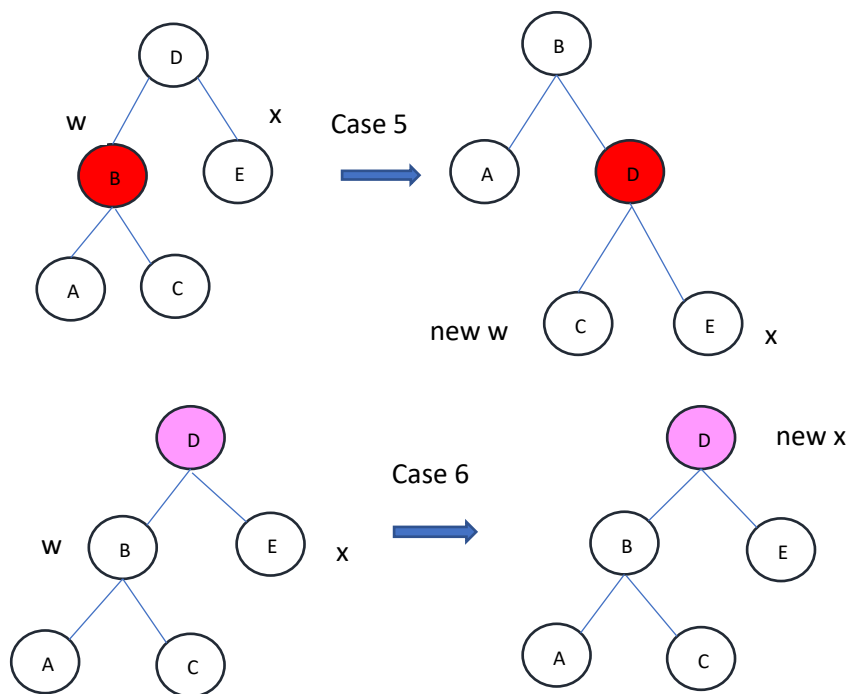
Answer to Question 4

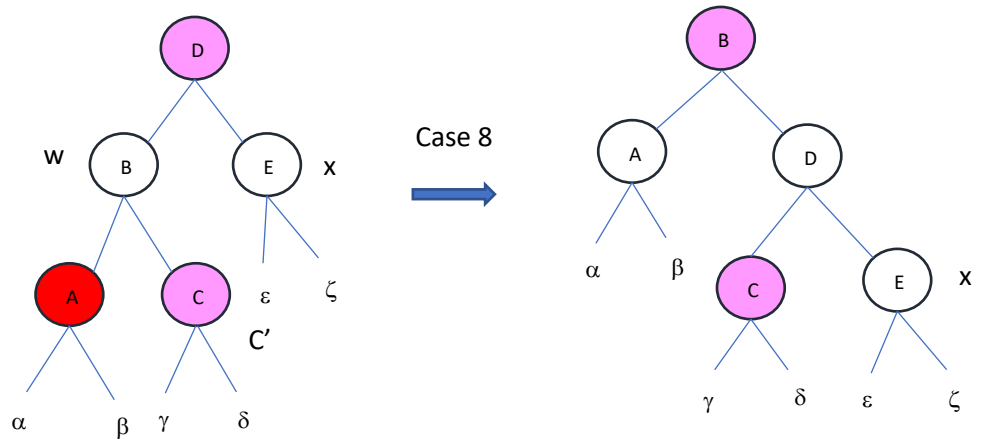
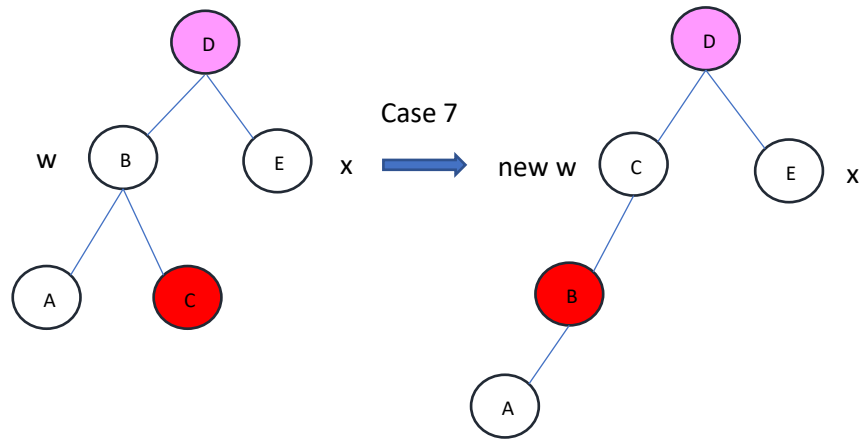
RB-Delete-Fixup(T, x)

1. while $x \neq \text{root } T$ and $\text{color}[x] = \text{BLACK}$
2. do if $x = \text{right}[p[x]]$
3. then $w \leftarrow \text{left}[p[x]]$
4. if $\text{color}[w] = \text{RED}$
5. then $\text{color}[w] \leftarrow \text{BLACK}$
6. $\text{color}[p[x]] \leftarrow \text{RED}$
7. $\text{RIGHT} - \text{ROTATE}(T, p[x])$
8. $w \leftarrow \text{left}[p[x]]$
9. if $\text{color}[\text{right}[w]] = \text{BLACK}$ and $\text{color}[\text{left}[w]] = \text{BLACK}$
10. then $\text{color}[w] = \text{RED}$
11. $x \leftarrow p[x]$
12. else if $\text{color}[\text{left}[w]] = \text{BLACK}$
13. then $\text{color}[\text{right}[w]] \leftarrow \text{RED}$
14. $\text{color}[w] \leftarrow \text{RED}$
15. $\text{LEFT} - \text{ROTATE}(T, w)$

16. $w \leftarrow \text{left}[p[x]]$
17. $\text{color}[w] \leftarrow \text{color}[p[x]]$
18. $\text{color}[p[x]] \leftarrow \text{BLACK}$
19. $\text{color}[\text{left}[w]] \leftarrow \text{BLACK}$
20. $\text{RIGHT} - \text{ROTATE}(T, p[x])$
21. $x \leftarrow \text{root}[T]$
22. else (treatment of the case that x is a left child)
23. $\text{color}[x] \leftarrow \text{BLACK}$

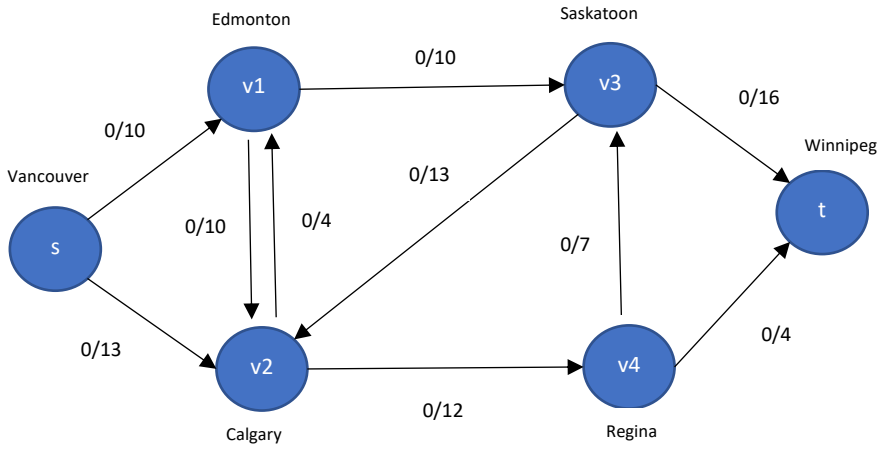
With the written algorithm, the cases 5-8 are handled as illustrated in the following diagram.



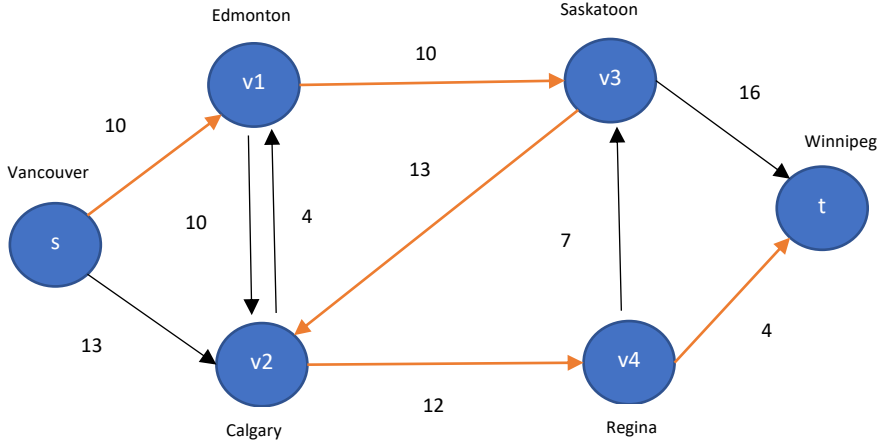


Answer to Question 5

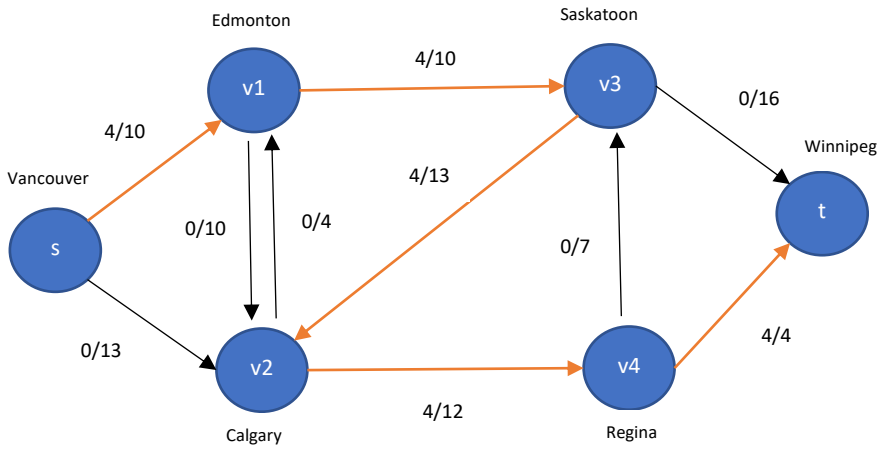
Tracing computation process when applying Ford-Fulkerson algorithm:



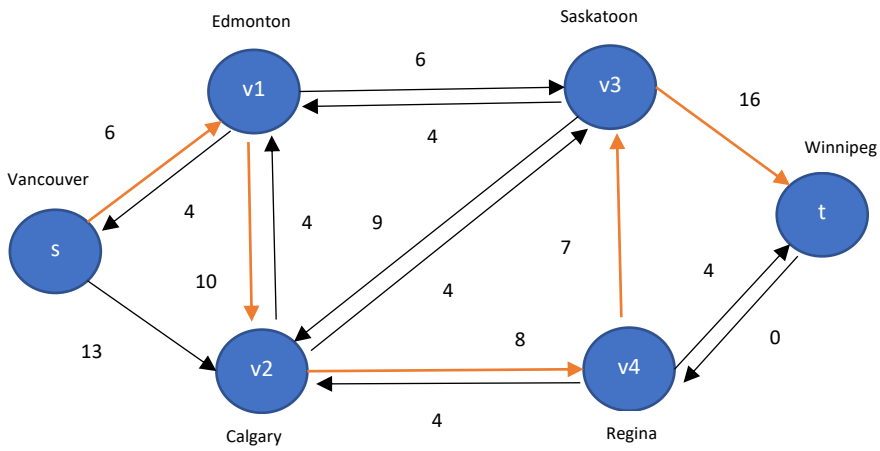
Flow on edge is 0. The corresponding residual graph network with the selected augmenting path p_1 marked in orange:



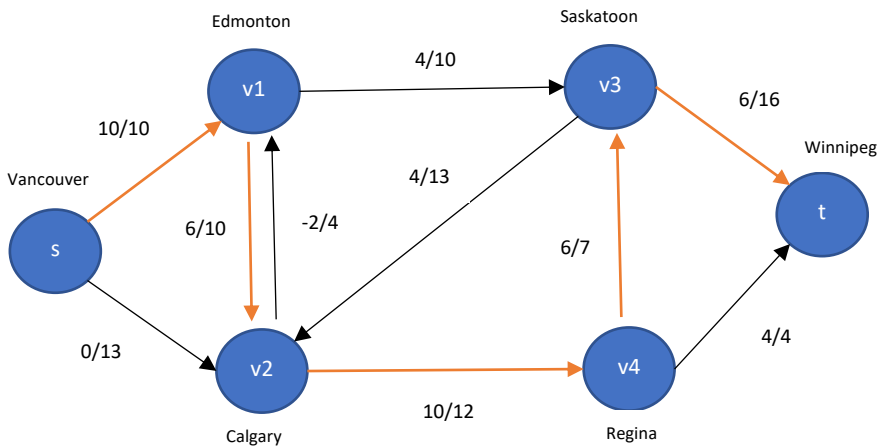
Pushing a flow of 4 (min cost) on p_1 .



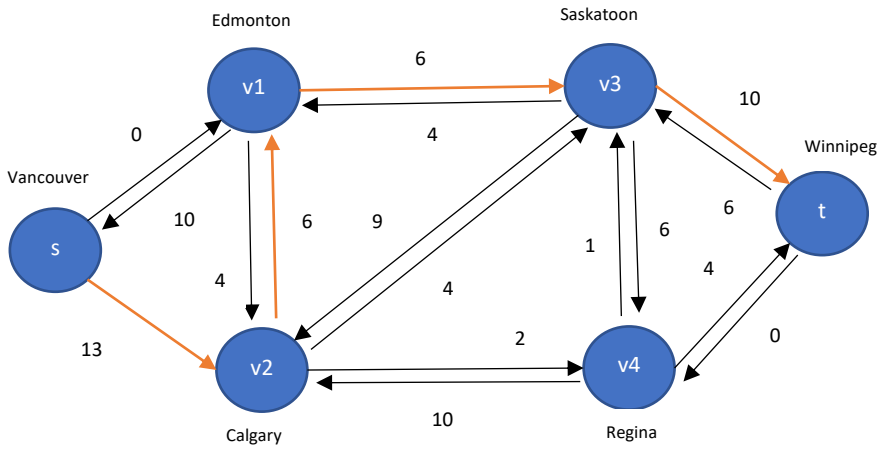
The corresponding residual network:



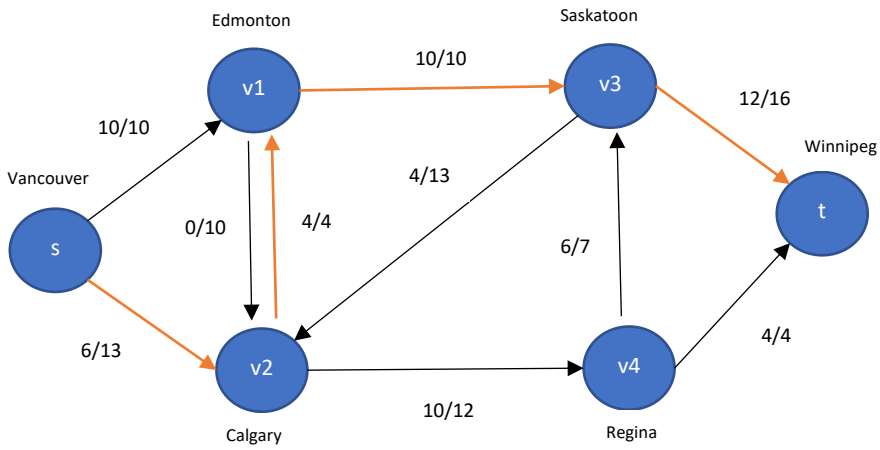
Pushing a flow of 6 on p_2 .



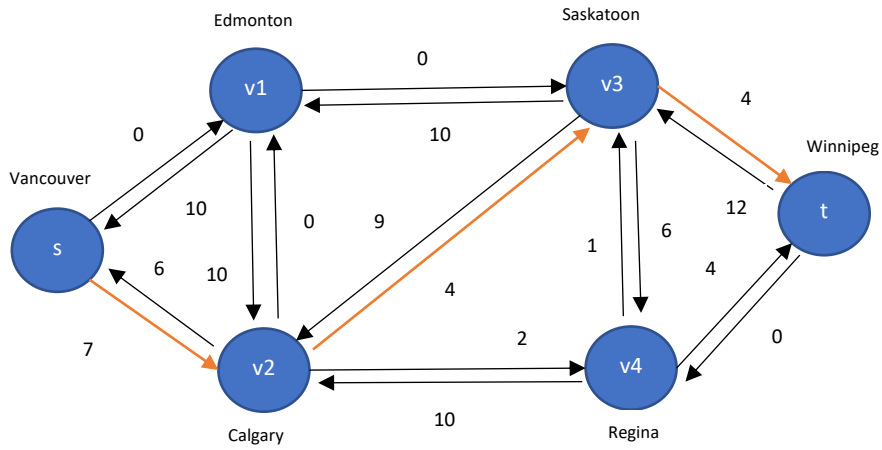
The corresponding residual graph network:



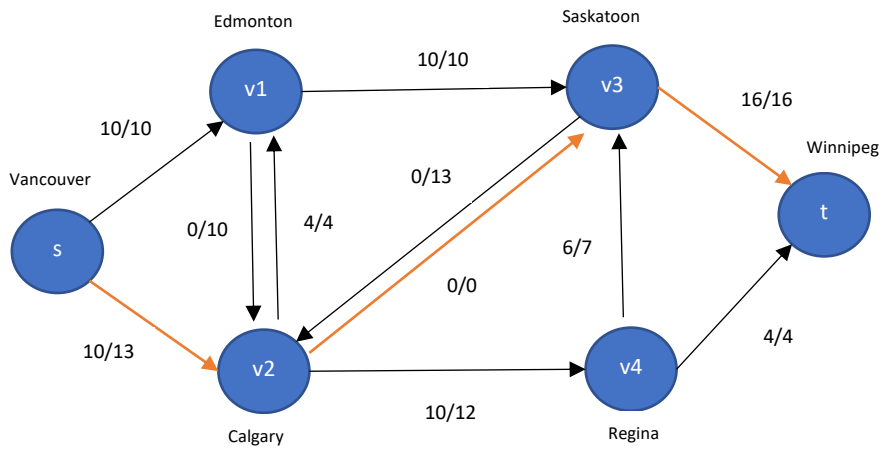
Pushing a flow of 6 on p_3 .



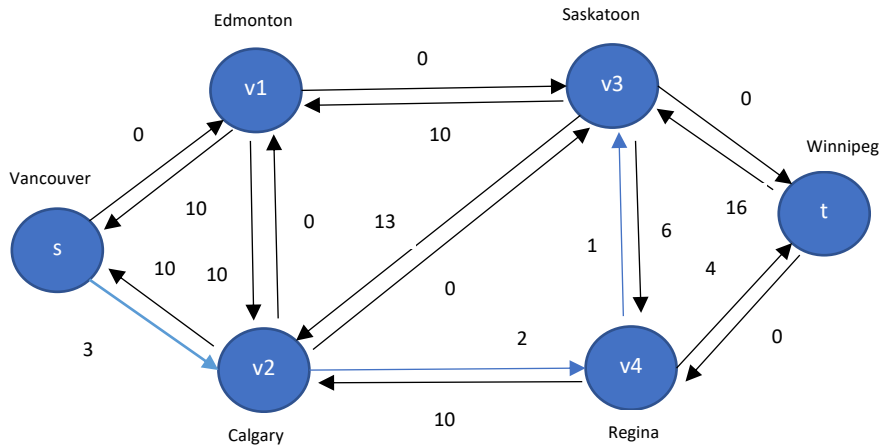
The corresponding residual network.



Pushing a flow of 4 on p_4 .



Corresponding residual network:



No augmenting paths in the corresponding residual network. (Termination)

Answer to Question 6

$p = ababcbab$ $t = abababcbabcbab$

Compute-Prefix-Function(P)

1. $m \leftarrow \text{length}[T]$
2. $\pi[1] \leftarrow 0$
3. $q \leftarrow 0$
4. **for** $i \leftarrow 2$ to m
5. **do while** $q > 0$ and $P[q + 1] \neq P[i]$
6. **do** $q \leftarrow \pi[q]$
7. **if** $P[q + 1] = P[i]$
8. **then** $q \leftarrow q + 1$
9. $\pi[i] \leftarrow q$
10. **return** π

Computation process of Compute prefix function:

$\pi[1] = 0$ First prefix value is 0.

q	i	$q + 1$	$P[q + 1]$	$P[i]$	$\pi[i]$
0	2	1	a	b	0
0	3	1	a	a	1
1	4	2	b	b	2
2	5	3	a	c	0
0	6	1	a	a	1
1	7	2	b	b	2

We get,

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	c	a	b
$\pi[i]$	0	0	1	2	0	1	2

Knuth-Morris-Pratt algorithm uses this prefix table as such:

KMP-Matcher(T, P)

1. $n \leftarrow \text{length}[T]$
2. $m \leftarrow \text{length}[P]$
3. $\pi \leftarrow \text{Compute-Prefix-Function}(P)$
4. $q \leftarrow 0$
5. **for** $i \leftarrow 1$ **to** n
6. **do while** $q > 0$ and $P[q + 1] \neq T[i]$
7. **do** $q \leftarrow \pi[q]$
8. **if** $P[q + 1] = T[i]$

9. **then** $q \leftarrow q + 1$
10. **if** $q = m$
11. **then** print “pattern occurs with shift” $i - m$
12. $q \leftarrow \pi[q]$

i	q	$\pi[q]$	$q + 1$	$T[i]$	$P[q + 1]$
1	0	-	1	a	a
2	1	0	2	b	b
3	2	0	3	a	a
4	3	1	4	b	b
5	4	2	5	a	c
6	2	0	3	b	a
7	0	-	1	c	a
8	0	-	1	a	a
9	1	0	2	b	b
10	2	0	3	a	a
11	3	1	4	b	b
12	4	2	5	c	c
13	5	0	6	a	a
14	6	1	7	b	b

Algorithm prints “pattern occurs with shift 7” ($i - m = 14 - 7 = 7$)